

42 B 1F1W 2813\$  
Docket No.: UNIU42.001AUS

Customer No. 20,995

# INFORMATION DISCLOSURE STATEMENT

Applicant : Shunsuke SHUTO, et al.  
App. No : 09/990,075  
Filed : November 21, 2001  
For : METHOD FOR MANUFACTURING  
HOMEOTROPIC ALIGNMENT  
LIQUID CRYSTAL FILM  
Examiner : Erik Kielin  
Art Unit : 2813

## CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

December 16, 2004

(Date)

Katsuhiro Arai, Reg. No. 4,3315

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is an Information Disclosure Statement by Applicant (PTO/SB/08 equivalent) listing and enclosing three (3) references to be considered by the Examiner.

This Information Disclosure Statement is being filed after the mailing date of a final action or after the mailing date of a Notice of Allowance. This Statement is accompanied by the fee set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days/months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: December 16, 2004

By:

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Please Direct All Correspondence to Customer Number **20995**



**TRANSMITTAL LETTER**  
**INFORMATION DISCLOSURE STATEMENT**

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**Mail Stop Amendment**

Commissioner for Patents

P.O. Box 1450

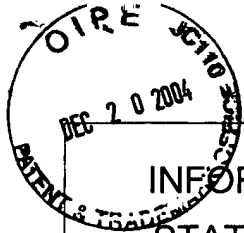
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement and PTO/SB/08 equivalent listing references for consideration:
  - (X) Listing and enclosing three (3) references.
- (X) A copy of a Search Report issued by Dutch Patent Office dated November 23, 2004.
- (X) A check in the amount of \$180.00 to cover the above fee is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Katsuhiro Arai  
Registration No. 43,315  
Attorney of Record  
Customer No. 20,995  
(949) 760-0404

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT***(Multiple sheets used when necessary)*

SHEET 1 OF 1

Application No.	09/990,075
Filing Date	November 21, 2001
First Named Inventor	Shunsuke SHUTO
Art Unit	2813
Examiner	Erik Kielin
Attorney Docket No.	UNIU42.001AUS

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1.	6,201,588	03/13/01	Harry Garth WALTON, et al.	1-7, 9-13

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T <sup>1</sup>
	2.	JP 10-268318	10/09/98	Harry Garth WALTON, et al.	1-7, 9-13	X
	3.	JP 2000-212310	08/02/00	Yoshihiro KAWATSUKI, et al.	1-7, 9-13	X

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>1</sup>

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Examiner Signature	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

T<sup>1</sup> - Place a check mark in this area when an English language Translation is attached.